

Fairtrade Climate Standard - Compliance Criteria v 1.7 - 08.11.2018

Reference	Applicable for feasibility assessment	CC number	CC Text	rank 1	rank 2	rank 3	rank 4	rank 5	Time	CC Type	Audit Type	Project Type	Interpretation note
0													
FLOCERT requirement	PO, PF, Trader	0.0.01	You have a valid countersigned FLOCERT certification contract.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO, PF, Trader	0.0.02	All non-conformities of the previous audit have been corrected.	No		Yes			1	M		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO, PF, Trader	0.0.03	(Applicable if an exception was granted) The conditions of granted exceptions are met.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO, PF, Trader	0.0.04	You handle and document all complaints and follow-up actions relating to compliance with requirements of the applicable Fairtrade Standard. These records are made available to the auditor.	No		Yes			1	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO	0.0.05	A 2nd grade organisation is legally formed by at least two 1st grade member organisations as legal entities, under the control of a central structure.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO	0.0.06	A 3rd grade organisation is legally formed by at least two 2nd grade member organisations, as legal entities under the control of a central structure.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO	0.0.07	The 2nd/3rd grade organisation must be democratically controlled by their direct members, which are 1st grade/2nd grade organisations legally constituted and legally affiliated.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO	0.0.08	The scope of the Fairtrade Certification is decided at a General Assembly of all member organisations and addressed in the minutes of the General Assembly.	Scope of Fairtrade certification has not been decided upon OR is unclear.	Scope of Fairtrade certification exists but has not been decided at a General Assembly (GA) of all members OR is not addressed in the GA's minutes OR signatures of participants are missing OR is not up to date.	Scope has been decided by all members during a General Assembly BUT minutes lack some necessary details and not all are aware.	All member organisations are aware about the scope of Fairtrade certification AND this has been decided by all members during a General Assembly BUT minutes lack some necessary details.	All member organisations are aware about the scope of Fairtrade certification and this has been decided by all members during a General Assembly and minutes are clear and comprehensive and contain all signatures.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO	0.0.09	The 2nd/3rd grade organisation has implemented an Internal Control System on the 2nd/3rd grade level which enables the 2nd/3rd grade organisation to control compliance of the Fairtrade Standards on all levels of the organisation. General principles for a functioning ICS are: <ul style="list-style-type: none"> •A documented description of the ICS •A documented management structure •One person responsible •An internal regulation •Identified internal inspectors •Training of personnel, internal inspector •Annual inspection protocols •Use of internal sanctions •Regularly updated Growers List •Use of risk assessment to address risks, threats to integrity 	The organisation has taken no action to implement an Internal Control System.	Internal Control System exists but it is not followed in practice OR does not allow the organisation to control compliance with Fairtrade standards.	The Internal Control System is in place and allows the organisations' control but lacks some clarity OR slightly differs from its description.	The Internal Control System is in place and followed and contains all necessary details and follows the defined procedure.	= RANK 4 AND detailed records exist.	3	D		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO, PF, Trader	0.0.10	The conditions of granted exceptions are met.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
1.1													
Certification and right to trade													
1.1.1	PO, PF, Trader	1.1.01	You accept announced and unannounced audits on your premises and where applicable on subcontracted premises and you provide all requested information needed to demonstrate compliance with the Fairtrade Standard.	Access to sites or documents was denied OR the audit was otherwise undermined (e.g. confidential interviews with members or workers were denied).	Certain key persons were not reachable for unjustified reasons OR the PO/PF was not active in bridging the information gaps.	Access and information was provided (even without being well prepared or with key persons not being reachable for understandable reasons).		RANK 3 AND the information for the audit was carefully prepared.	0	M		afforestation, reforestation, energy efficiency, renewable energy	
1.1.2	PO	1.1.02	(Only applicable in years 0, 1, and 2) One official contact person has been appointed for all certification matters. This person keeps FLOCERT updated with contact details and other relevant information (e.g. change in structure or size).	No contact person was appointed.	A contact person was appointed but he/she is not aware of his/her responsibilities OR does not keep FLOCERT updated	A contact person was appointed AND keeps FLOCERT updated.	RANK 3 AND it mutually agreed between PO and PF.	The appointed contact person is a member or employee of the PO.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
1.1.3	PO	1.1.03	The person appointed as contact person (Fairtrade Officer) is either a member or an employee of the Producer Organization. This person keeps FLOCERT updated with contact details and other relevant information (e.g. change in structure or size).	No contact person internally appointed.	A contact person was internally appointed but he/she is not aware of his/her responsibilities OR does not keep FLOCERT updated	A contact person was internally appointed AND keeps FLOCERT updated.			3	C		afforestation, reforestation, energy efficiency, renewable energy	
1.1.4	PF, Trader	1.1.04	A person has been appointed for all certification matters, called the Fairtrade Officer. This person keeps FLOCERT updated with contact details and other relevant information (e.g. change in structure or size).	No contact person was appointed.	A contact person was appointed but he/she is not aware of his/her responsibilities OR does not keep FLOCERT updated	A contact person was appointed AND it keeps FLOCERT updated AND takes care of all certification related matters.			0	C		afforestation, reforestation, energy efficiency, renewable energy	

1.1.5	PO	1.1.05	The majority of members of the producer organization are small-scale carbon credit producers, complying with the following characteristics: generating an output not higher than a) Energy projects: the output generated through FCC project activity is not higher than - for renewable energy projects: 15 megawatts / year - for energy efficiency projects: 60 gigawatt hours / year b) Afforestation/reforestation projects: at least 50 % of the work is carried out by family members, cooperative members or neighbors. c) all projects: there is marginalization in terms of market access, resources, information, technology, capital, assets, etc.	Only 40% of members or less are small-scale carbon credit producers OR the available information makes impossible to determine this.	Less than 50% but at least 41% of members are small-scale carbon credit producers.	Even though members' list is inaccurate or incomplete, the auditor is able to determine through observation and local knowledge that 50% of members are small-scale carbon credit producers.	Clear member lists document that 50% of members are small-scale carbon credit producers.	RANK 4 AND the organization is aware of this rule and checks continuous compliance when accepting members.	0	M		afforestation, reforestation, energy efficiency, renewable energy	Small scale producers can be households, smallholders, micro-enterprises, etc.
1.1.6	PF	1.1.06	You have included the Fairtrade principles in your policy or mission statement.	No reference to Fairtrade principles in any document.	A separate policy was developed, but the Fairtrade principles is not included in the main policy/mission statement.	The Fairtrade principles is included in writing in the policy or mission statement.	RANK 3 AND on other business documents (internal policies, strategic plans, etc.).	RANK 4 AND the PF is a mission-driven organization.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
1.1.7	Trader	1.1.07	Before starting to trade FCCs, you have received a Permission to trader or Fairtrade Certification from FLOCERT.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
1.1.8	Trader	1.1.08	You have a contractual relationship with a National Fairtrade Organization or with Fairtrade International regulating public communication on your involvement with FCCs	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	Traders always have to have a contract with the responsible NFO or FI (not only if they want to communicate about involvement).
1.1.9	Trader	1.1.09	You only purchase FCCs from a certified producer organization and not from individual members.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
1.1.10	PO, Trader	1.1.10	(Applicable if you or your trade partner has been suspended since last audit.) during the suspension period you have - fulfilled existing contracts - only signed new contracts with a trade partner with whom you have an 'existing trade relationship' and contracted volumes do not exceed 50 % of the volume traded with that specific trade partner in the previous year.	Volume of the new contracts with existing trade partners knowingly exceeded OR new ones signed OR existing contracts not fulfilled.		Existing contracts fulfilled and traded volume in line with requirement.			0	C		afforestation, reforestation, energy efficiency, renewable energy	An 'existing trade relationship' means that transactions have taken place in the previous twelve months
1.1.11	PO, Trader	1.1.11	(Applicable if your trade partner is decertified) You have not made any Fairtrade transaction with a decertified supplier or buyer, even if signed contracts are in place.	Credits knowingly sold to/bought from decertified trade partner as Fairtrade.	Due to lack of getting informed credits sold to/bought from decertified trade partner as Fairtrade.	No Fairtrade transactions made with decertified trade partner.			0	C		afforestation, reforestation, energy efficiency, renewable energy	
1.2 Carbon Accounting													
1.2.1	PO	1.2.01	You only apply carbon accounting methodologies published by Fairtrade International.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
1.3 Use of the Fairtrade Mark													
1.3.1	PO	1.3.01	(Applicable if you use the FCC Lock-up on your external promotional material) You have contacted Fairtrade International to request related information	The Lock-up is used BUT Fairtrade International was not contacted	Fairtrade International was contacted But not prior to use of the Lock-up	Fairtrade International was contacted prior to use of the Lock-up			0	C		afforestation, reforestation, energy efficiency, renewable energy	
1.3.2	Trader	1.3.02	(Applicable if you use the FCC Lock-up and/or communicate publicly about FCCs) Prior to its use, you have signed a contract with a National Fairtrade Organization or Fairtrade International for the right to use the FCC Lock-up in promotional communications or artwork. All artwork and /or communications comply with the "Partnership Lock Up and Communications Guidelines"	There is no contract in place AND the Lock-up is used without approval.	There is a contract but the Lock-up is used in more ways than approved OR the use does not comply with the related guidelines.	The use of the Lock-up is in line with the contract and related guidelines.			0	C		afforestation, reforestation, energy efficiency, renewable energy	
2 Social and Business Development													
2.1 Organizational Development													
2.1.1	PO	2.1.01	The producers of FCC are part of a producer organization.	FCCs are generated by non-members OR it is not possible to determine who the members are.		FCCs are generated by members of the PO.	RANK 3 AND there is a system in place to avoid that non-members generate FCCs.	RANK 4 AND the organization has a system in place that fully guarantees traceability.	0	C		afforestation, reforestation, energy efficiency, renewable energy	The organization can be of any form and setup, e.g. association, community based organization, small producer organization as defined by Fairtrade. Members means participants in an FCC project (it may not be a legally registered organizations so they may not be formal members).
2.1.1	PO	2.1.02	The Producer Organization has a structure that allows individual members to make democratic and transparent decisions about Fairtrade issues including the use of the Fairtrade Premium.	The structure does not allow democratic and transparent decision making.	The mechanisms for democratic and transparent decision making are not yet implemented.	The structure allows democratic and transparent decision making.	RANK 3 AND members are aware of the mechanisms for decision taking.	RANK 4 AND there are representatives who are properly trained to perform their functions.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
2.1.1	PO	2.1.03	Representatives of the individual members (taking into account representation of gender, location, community membership, and temporary and migrant workers where applicable) are democratically elected and elections are documented.	There were no elections OR elections were forced, bought or otherwise corrupted.	The elections held were not democratic (e.g. not all members have voting rights) OR elections were not documented.	Elections were democratic and documented (even if poorly recorded).	RANK 3 AND elections are well documented.	RANK 4 and the election procedure is fully regulated in the statutes or other documents and followed.	0	M		afforestation, reforestation, energy efficiency, renewable energy	
2.1.1	PO	2.1.04	An established communication and feedback system between the representatives and the producers is in place that allows information and concerns to be shared between all parties and to be documented in a timely manner.	There is no communication and feedback system in place.	A communication and feedback system is described but not implemented.	A communication and feedback system implemented.	RANK 3 AND addressed information and concerns are well documented.	RANK 4 AND there are regular exchange meetings between the representatives and all producers.	0	C		afforestation, reforestation, energy efficiency, renewable energy	

2.1.1	PO	2.1.05	The communication and feedback system covers topics such as FOC project investments and developments, progressive transfer of knowledge and management responsibilities, and climate change adaptation.	There is no communication and feedback system in place.	There is a system in place BUT it does not cover all required elements.	All required elements are covered in that system.			0	C		
2.1.1	PO	2.1.06	There are clearly written project participation rules and records including contact names, dates and details of carbon project implementation (e.g. purchasing a stove, receiving a water filter, planting trees, etc.).	There are no written rules nor records.	Criteria are not sufficiently clear, there are ambiguous clauses OR there are no records.	Clear participation rules in statutes or other documents AND records generally include all basic information.	RANK 3 AND a maximum of 10% errors in the records.	RANK 4 AND records are regularly updated AND a maximum of 2% errors in the records.	0	C		afforestation, reforestation, energy efficiency, renewable energy
2.1.2	PO, PF	2.1.07	(Applicable if Producer Organization is legally registered) A bank account is in place under the name of the producer organization and with more than one signatory.	There is no bank account.	The bank account is not registered to organization 's name OR there is only one signatory.	The bank account is registered to organization 's name AND there are two signatories.		RANK 3 AND at least one of the signatories is a member representative.	0	C		afforestation, reforestation, energy efficiency, renewable energy
2.1.2	PF	2.1.08	(Applicable if it is not possible for the producer organization to open an own bank account due to not being legally registered.) The Project Facilitator opens a separate bank account to receive the Fairtrade income on behalf of the producer organization. At least a producer organization representative and a project facilitator representative are joint signatories. The project facilitator stands as a trustee of the funds and ensures they are used in line with the applicable rules.	There is no bank account.	No representative of the PO is signatory OR funds are not used in line with applicable rules.	One of the signatories of the bank account is a representative of the PO AND the PF ensures use of funds in line with applicable rules.			0	C		This should only be applicable for producer organizations and project facilitators which are not legally registered and therefore cannot open their own bank.
2.1.3	PO	2.1.09	You take ongoing measures to promote the producers' participation in the internal control of the producer organization. Measures include at least training and education for producers to explain how they can participate in the organization so that they are more involved.	No measures to promote producers' participation are taken.	Some measures are taken BUT no trainings were conducted.	Training was conducted for some producers AND there is a training plan to cover all members.	Training was provided to all producers.	RANK 4 AND refresher sessions are planned.	3	D		afforestation, reforestation, energy efficiency, renewable energy
2.1.3	PO	2.1.10	You share audit results such as information on non-conformities and corrective measures with members in a format and language accessible to them.	Audit results are not shared.	Audit results basically shared, but not understandable.	Audit results shared in form and language accessible to members.	RANK 3 AND results are explained in detail.	RANK 4 AND meetings conducted in which members can give their feedback and participate in correcting non-conformities identified	3	D		afforestation, reforestation, energy efficiency, renewable energy
2.1.4	PO	2.1.11	You do not discriminate against producers or restrict new inclusion on the basis of race, color, gender, sexual orientation, disability, marital status, age, HIV/AIDS status, religion, political opinion, language, property, nationality, ethnicity or social origin' regarding participation, voting rights, the right to be elected, access to markets, or access to training, technical support or any other benefit.	There are substantial indicators of discrimination regarding membership and voting rights and the right to be elected.	There are substantial indicators of discrimination on technical support, access to markets and other benefits.	There are no indications of discrimination taking place.		RANK 3 AND records that show reasons for non-acceptance or suspension of members (if applicable)	0	C		afforestation, reforestation, energy efficiency, renewable energy
2.1.5	PO	2.1.12	You have a written gender policy in place that ensures that women's rights are proactively protected, the participation of women in the project is fostered, and the benefits received by women are monitored.	No gender policy in place.	A gender policy exists BUT not in written form.	A written gender policy exists that includes the requested aspects.	RANK 3 AND actions like training and awareness raising sessions are taken to implement it.	RANK 4 AND implementation and its impact are monitored.	3	C		afforestation, reforestation, energy efficiency, renewable energy
2.2 Fairtrade Carbon Credits Project Management												
2.2.1	PO	2.2.01	You have designated at least one person from your organization to take responsibility of the project management and implementation (Project Manager). This person is in a position to influence decision-making at the organizational level.	The organization has not designated a project manager.		The organization has designated a project manager that is in position to influence decision-making.	RANK 3 AND the PM has been designated in writing.	RANK 4 AND the person has experience in project management.	3	C		afforestation, reforestation, energy efficiency, renewable energy
2.2.2	PO	2.2.02	You have identified and documented any rules and rights as well as laws and regulations that have an impact on your project. You keep up-to-date on and document any changes to these rules on a regular basis during the implementation of the project.	Applicable rules, regulations and laws have not been identified.	The PO is aware of applicable rules, regulations and laws but they are not documented.	Applicable rules, regulations and laws have been identified and documented.	RANK 3 AND intervals for updates are defined.	RANK 4 AND updates do take place.	0	C		afforestation, reforestation, energy efficiency, renewable energy Related to land, infrastructure etc. over which producers have ownership, long-term user rights, or authorization
2.2.2	PO	2.2.03	You ensure that your project complies with national and local rules and regulations. All relevant permits have been obtained (e.g. on infrastructure, land exploitation, etc.).	The project does not comply with national and local rules.	There is indication that it complies with national and local rules BUT no permits obtained and no rights documented.	The project complies with national and local rules AND no major relevant permits are left out.	RANK 3 AND all relevant permits were obtained.	RANK 4 AND any necessary future permits are planned for.	0	C		afforestation, reforestation, energy efficiency, renewable energy Related to land, infrastructure etc. over which producers have ownership, long-term user rights, or authorization
2.2.3	PO	2.2.04	You have identified and continuously re-evaluate project risks and opportunities to ensure that sufficient human, technical and financial capacities are available for the project to run, and that potential project risks are mitigated.	No risk assessment carried out	Risk assessment carried out but there is no plan for re-evaluation OR re-evaluation does not take place.	Risk assessment carried out AND re-evaluation takes place (or there is at least a plan for re-evaluation).	RANK 3 AND all risk types (environmental, business, individual) are regularly re-evaluated.	RANK 4 AND actions are taken to mitigate identified risks.	0	C		afforestation, reforestation, energy efficiency, renewable energy
2.2.4	PO	2.2.05	You ensure that the project does not lead to environmental damages such as loss of biodiversity, deforestation, forest degradation, reduction of water quality or soil erosion.	There are visible signs that project leads to environmental damages.	There is the risk that project leads to environmental damages BUT the PO has liaised with local authorities or experts to make an assessment.	No signs that project leads to environmental damages.	There is evidence that project does not lead to environmental damages.	RANK 4 AND measures are taken to even improve the situation.	0	C		afforestation, reforestation, energy efficiency, renewable energy for forestry: already covered in Gold Standard (section of PDD)
2.2.5	PO	2.2.06	You respect indigenous rights, human rights and sites of cultural importance and ensure that the project does not lead to involuntary resettlement.	Indigenous and human rights and sites of cultural have been violated AND involuntary resettlement has taken place.	Indigenous and human rights and sites of cultural have been violated OR involuntary resettlement has taken place.	There are no indications that indigenous and human rights and sites of cultural importance were mistreated NOR that involuntary resettlement took place.	The organization has evidence that indigenous and human rights and sites of cultural importance have been respected and that no involuntary resettlement took place.		0	C		afforestation, reforestation, energy efficiency, renewable energy
2.2.5	PO	2.2.07	Producers have legal, legitimate and/or customary right to land use and land tenure and respect the rights of local and indigenous peoples. Any disputes on land use have been resolved responsibly and transparently.	There is evidence that producers do not have legal, legitimate and/or customary right to the land use and tenure AND disputes are not being resolved.	There are no significant disputes OR any disputes on land use are in the process of being resolved.		RANK 3 AND has a documented policy in place which states that they respect the land rights of local and indigenous people.	RANK 4 AND there is proof that they proactively help the local and indigenous people in establishing the right to their land.	0	C		afforestation, reforestation, energy efficiency, renewable energy

2.2.6	PO	2.2.08	You ensure that the project does not directly or indirectly jeopardize the food security of the members of the producer organization or their communities	There are visible signs that project jeopardizes the food security	There is the risk that project jeopardizes the food security BUT the PO has liaised with local authorities or experts to make an assessment.	No signs that project jeopardizes the food security.	There is evidence that project does not jeopardize the food security.	RANK 4 AND measures are taken to even improve the situation.	0		C			afforestation, reforestation, energy efficiency, renewable energy	
2.2.7	PO	2.2.09	You have the Free, Prior and Informed consent of all communities who are not part of the Producer Organization, but can be affected by the project (such as surrounding communities and neighborhood) before the project is developed.	A Local stakeholder consultation process has not been conducted.	A Local stakeholder consultation process has been conducted BUT did not involve all of the stakeholders.	A Local stakeholder consultation process has been conducted AND you have the Free, Prior and Informed Consent of affected communities.	RANK 3 AND the means used to ensure Free, Prior and Informed Consent are well documented.	RANK 4 AND the organization regularly informs the communities about the project and all its implications	0		C		afforestation, reforestation, energy efficiency, renewable energy	Gold Standard Stakeholder Consultation report Free, prior and informed consent includes: - the process of giving consent has been self-directed by the community from whom consent is being sought ("Free"), - the community has been given the opportunity to form their opinion and make their decision before the carbon project starts and also before a final decision is taken that the project will be implemented ("Prior"), - the type of information provided prior to decision making ensured that there is clear and confirmed understanding, that rights holders are aware of the specific question which they are being asked to consent; - information has been delivered in appropriate language and format (could include radio, video, theatre, graphics, documentaries, photos). ("Informed") - the decision has been freely given by local communities and reached through an agreed upon process of dialogue, deliberation, and decision making ("Consent")	
2.2.8	PO	2.2.10	There is a grievance mechanism in place for solving any disputes that includes: - a responsible person in charge of grievances nominated by the producer organization - processes to document grievances, concerns and corrective measures - processes for the investigation and decision making within ninety days after the receipt of a grievance - transparent, legitimate, accessible and effective procedures	A grievance mechanism is not in place.	A grievance mechanism is in place BUT no person was nominated AND relevant processes are not adequately described.	A grievance mechanism that covers all required details is in place.		RANK 3 AND the processes are regularly reviewed.	0		C		afforestation, reforestation, energy efficiency, renewable energy	Gold Standard	
2.2.8	PO	2.2.11	You keep records of all grievances	No records are kept.	Some grievances are documented but not all	All grievances brought forward are documented.		RANK 3 AND there is a process to ensure learning from grievances to avoid them from happening again.	0		C		afforestation, reforestation, energy efficiency, renewable energy		
2.2.9	PF	2.2.12	The Project Facilitator has at least one year of experience in developing carbon projects at a small-scale level, and experience in carbon finance and carbon monitoring, either through training or through practical work.	The PF has no experience.		The PF has at least one year of experience in developing carbon projects AND has experience in or has been trained in carbon finance and monitoring.	RANK 3 and the PF has been trained AND has practical work experience in carbon finance and monitoring.	The PF has many years of practical experience.	0		C		afforestation, reforestation, energy efficiency, renewable energy		
2.2.10	PF	2.2.13	The Project Facilitator has the competency to provide the necessary services and trainings to support the development of a producer organization.	No adequate skillset for supporting the development of a producer organization.		The PF possesses or develops knowledge in local capacity building in rural and less developed contexts and areas.	RANK 3 AND it is aware of participative and developmental approaches or projects.	RANK 4 AND has a good knowledge of local laws regarding the building of a legal organization of producers	1		M		afforestation, reforestation, energy efficiency, renewable energy		

2.2.11	PF	2.2.14	<p>You have signed a written agreement with the producer organization (or with the producer representatives if the producer organization is not a legal structure) in a language understood by the producer organization, specifying the following elements:</p> <ul style="list-style-type: none"> • The total duration of the agreement (see requirement 2.2.14, CC 2.2.17) • That the producer organization commissions the project facilitator to perform a support role (only applicable if the producer organization is the certificate holder) • Which parties carry out which specific activities and tasks (including management of funds) and for what duration • That the producers are able to select another project facilitator in case of need, or in case the project facilitator does not fulfil its function. • The remuneration that the project facilitator receives for the services provided. • How the ownership of the assets is defined. • How much the project facilitator eventually invests in the project and which elements remain the project facilitators' property. • Which material is distributed or sold to the producer organization (e.g. cook stoves), the quantity and at what price. • That any documents developed by the project facilitator within the agreement on behalf of the producer organization are the property of the producer organization. • That the project facilitator will transfer knowledge and skills so that producer organizations can increasingly take on more project activities and carbon management responsibilities. • How and when knowledge and management responsibilities will be transferred, and for which activities. • The costs incurred by the project facilitator and producer organization are clear so that the payments for the FCCs are shared between the project facilitator and producer organization according to the tasks carried out. 	There is no agreement.	Agreement signed BUT it is not written in a language understood by the producer organization OR does not contain all required elements	Agreement signed is understood by the producer organization AND contains all required elements.		RANK 3 AND the agreement was set up by the PF and the PO together.	0	C		afforestation, reforestation, energy efficiency, renewable energy	The item 'Which parties carry out which specific activities and tasks (including management of funds) and for what duration' includes activities related to Standard requirements that were outsourced from the PO to the PF.
2.2.12	PO, PF	2.2.15	<p>The contractual agreement between the producer organization and the Project facilitator respects the duration of relevant project type as follows:</p> <ul style="list-style-type: none"> • For energy efficiency projects: the agreement may last as long as the crediting period, and cannot be repeated. • For renewable energy projects: the agreement may last as long as the crediting period and cannot be repeated • For afforestation/reforestation projects: the agreement does not last longer than one fifth of the crediting period and is not renewed. 	The project-specific duration of the contracting agreement is not respected.		The project-specific duration of the contracting agreement is respected.			0	C		afforestation, reforestation, energy efficiency, renewable energy	
2.2.13	PF	2.2.16	There is a plan with clear objectives and success indicators for the transfer of capacities and knowledge from the project facilitator to the producer organization.	There is no plan for transfer of capacities and knowledge	The plan does not include clear objectives and success indicators	The plan includes clear objectives and success indicators and foresees documentation of trainings carried out.	RANK 3 AND the producer organization was involved in the development of the plan.	Rank 4 and the plan is regularly reviewed.	1	C		afforestation, reforestation, energy efficiency, renewable energy	
2.2.14	PF	2.2.17	The project facilitator progressively transfers project management to the producer organization.	Transfer of project management to the PO has not started.		Some project management tasks are carried out by the PO.	RANK 3 and there is proof that the transfer of project management is progressing and the tasks include carbon performance monitoring.		3	C		afforestation, reforestation, energy efficiency, renewable energy	
2.2.15	PO	2.2.18	You progressively take over project management responsibilities from the project facilitator including those related to the carbon performance monitoring of the FCC project.	No attempt to take over project management responsibilities from the PF.		Some project management tasks are carried out by the PO.	RANK 3 and there is proof that the transfer of project management is progressing and the tasks include carbon performance monitoring.	The entire project management has been taken over by the PO.	3	C		afforestation, reforestation, energy efficiency, renewable energy	
2.3 Climate Change Adaptation													
2.3.1	PO	2.3.01	You organize and take part in information sessions for you and your members on climate change awareness to better understand the underlying causes of climate change and its repercussions on the region/community.	The PO did not arrange any information activity.	Less than 50% of registered producers have been informed OR content/quality of information was insufficient.	At least 50% of registered producers have been informed AND content of information was sufficient.	RANK 3 AND regularity of the sessions is defined based on factors such as producers' needs, complexity of the sessions, and membership increase of the PO.	RANK 3 AND the sessions are provided by a local expert organization AND documentation is available.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
2.3.2	PO	2.3.02	Representatives of your producer organization have been trained to take part in and to carry out participatory Risk and Opportunity Assessment so that they are able to identify local / regional climate change risks and suitable adaptation options to address them for you and your communities. The trained representatives replicate the training for other members of the organization (concept of train the trainer).	No one has been trained on Risk and Opportunity Assessments.	Representatives have been trained BUT no risk assessment(s) is/are carried out and no replication is planned.	Representatives have been trained on Risk and Opportunity Assessments AND (a) risk assessment(s) is/are carried out AND replication is planned.	RANK 3 AND replication has taken place.	RANK 4 AND replication has taken place for the majority of the members.	1	C		afforestation, reforestation, energy efficiency, renewable energy	

2.3.3	PO	2.3.03	You have developed a climate change adaptation plan and start implementing its activities once the project starts to generate income. The plan includes the description, objectives, timelines, responsibilities and budget of all planned activities.	There is no written plan.	Plan does not contain all essential details as defined in criteria.	Written plan with all details.		RANK 3 AND is based on the results of the Risk and Opportunity Assessment.	1	C		afforestation, reforestation, energy efficiency, renewable energy	
2.3.4	PO	2.3.04	You use the Fairtrade Premium to invest in activities from the climate change adaptation plan. The Fairtrade Premium can also be used for activities outside of the climate change adaptation plan if they correspond to greater needs of your organization and communities.	Fairtrade premium not used in line with climate change adaptation plan		Fairtrade premium used in line with climate change adaptation plan			1	C			
2.3.4	PO	2.3.05	(Applicable if you also invest Fairtrade Premium in activities outside of the climate change adaptation plan). You have documented that these activities correspond to greater needs of your organization or community and accurately track premium expenses.	There is no documentation showing the need of the activity the premium is used for.	There is documentation showing the need of the activity the premium is used for BUT expenses are not documented.	There is documentation showing the need of the activity the premium is used for AND expenses are not documented.		Need for activities outside of the climate change adaptation plan is regularly re-assessed.	1	C			
2.3.5	PO	2.3.06	All decisions related to the climate adaptation plan are taken by the members and are documented.	Decisions were not taken by members, nor are they documented.	Decisions not taken by members OR decisions not documented.	Decisions were taken by members and are documented. Reporting does take place.	Rank 3 AND plenty of time was given to discuss all details.	Rank 4 AND topics for decision were shared with members ahead of time.	1	C		afforestation, reforestation, energy efficiency, renewable energy	
2.3.5	PO	2.3.07	You regularly report on the status of implementation of decisions to your members.	No		Yes			1	C		afforestation, reforestation, energy efficiency, renewable energy	
2.3.5	PO	2.3.08	You accurately track all climate change adaptation plan expenses through an accounting system.	There are no records OR these are not understandable OR records are incorrect with the purpose of misleading members.	There are records of income and expenditures but it is not possible to identify/separate those that are related to Premium OR unintentional mistakes >1%.	There is a system that allows tracking income and expenditure and identify Premium but unintentional mistakes <1% found.	RANK 3 AND no mistakes.	Up to date accounting system, possible to track Premium AND no mistakes.	1	C		afforestation, reforestation, energy efficiency, renewable energy	
2.3.6	PO	2.3.09	At least one activity of the climate change adaptation plan benefits vulnerable groups.	No activity benefiting vulnerable groups is planned AND there is no awareness about vulnerable groups in the organization.	No activity benefiting vulnerable groups is planned BUT there is awareness about vulnerable groups in the organization.	One activity benefiting vulnerable groups is planned.	More than one activity benefiting vulnerable groups is planned.	RANK 4 AND the affected groups have been consulted to express their need and preferences.	3	D		afforestation, reforestation, energy efficiency, renewable energy	
2.3.7	PO	2.3.10	Towards the end of the project, you present and discuss the results and lessons learned of the implemented climate change adaptation measures with the producers.	No such discussion takes place.	Only a few producers are involved in these discussions.	Results are discussed with the majority of the members.	RANK 3 AND there is a plan how to involve the missing members.	RANK 4 AND discussions are well recorded and the organization has a monitoring system in place for the implementation phase.	6	D		afforestation, reforestation, energy efficiency, renewable energy	
3			Labor conditions										
3.1			Freedom from discrimination										
3.1.1	PO	3.1.01	You do not discriminate on the basis of race, color, gender, sexual orientation, disability, marital status, age, HIV/AIDS status, religion, political opinion, membership of union or other workers' representative bodies, national extraction or social origin in recruitment, promotion, access to training, remuneration, allocation of work, termination of employment, retirement, or other activities.	There is evidence of discrimination and the organization has not acted to stop it.	There is evidence of discrimination and the organization's actions are not adequate in removing it.	There is no discrimination or the organization has taken adequate measures to stop it.	RANK 3 AND the organization has a policy and program to prevent discrimination.	RANK 4 AND the organization has policies and programs in place to monitor discrimination and actions (including positive discrimination) to correct historic or culturally discriminated groups of workers.	0	M		afforestation, reforestation, energy efficiency, renewable energy	Workers are defined in the Standard as waged employees, whether they are permanent or temporary, migrant or local, subcontracted or directly employed.
3.1.1	PO	3.1.02	During recruitment, you do not test for pregnancy, HIV and genetic disorders.	Tests are requested.	No tests but questions are asked that lead to discrimination in recruitment.	No policy but no indications of test or questions during recruitment.	There is a clear policy forbidding this.	RANK 4 and there is a procedure in place to controls this at member level.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.1.2	PO	3.1.03	You do not engage in, support or tolerate the use of corporal punishment, mental or physical coercion, verbal abuse or behavior against workers, including gestures, language, and physical contact, that is sexually intimidating, abusive or exploitative.	There are indications of this being common.	There are indications of this happening on rare occasions but the organization took no actions.	There are no indications that this is present OR if there are indications of rare cases the organization can demonstrate it took action.	RANK 3 AND there is a written policy made known to members and workers.	RANK 4 and there is a procedure in place to controls this at member level.	0	M		afforestation, reforestation, energy efficiency, renewable energy	
3.2			Freedom from forced labor or compulsory labor										
3.2.1	PO	3.2.01	You do not engage in forced labor, including bonded or involuntary prison labor and explain this to all workers.	There is evidence forced labor and workers are not aware of the rule.	There are indications of forced labor on rare occasions but the organization did not take any actions.	There is no indication of forced labor AND workers are aware that these practices are forbidden.	RANK 3 AND there is a clear policy in place to avoid this.	RANK 4 AND the policy is communicated internally and understood.	0	M		afforestation, reforestation, energy efficiency, renewable energy	
3.2.1	PO	3.2.02	Employment of a worker or an offer of housing is not conditional on the employment of their spouse. Spouses have the right to work elsewhere.	The organization or members oblige workers' family members to work (directly or via benefits such as housing).	The organization or members prioritize housing for families with more than one member employed for the organization.	There are no indications of this taking place.		RANK 3 AND workers are made aware about their right to work elsewhere.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.3			Child Labor and Child Protection										

3.3.1	PO	3.3.01	You do not employ children below the age of 15 or under the age defined by local law, whichever is higher.	There are indications of this being common amongst members as employers or at organization as employer.	There are indications of this happening on rare occasions but the organization did not take any actions.	There are no indications of children working in the project OR if there are indications of rare cases the organization can demonstrate it took action.	There is a written policy that is made known to members that includes ensuring of safe schooling for all children.	RANK 4 and there is a procedure in place to controls this at member level.	0	M		afforestation, reforestation, energy efficiency, renewable energy	
3.3.2	PO	3.3.02	Your members' children below 15 years only help on the FCC project under the following conditions: - after school or during holidays; - the work they do is appropriate for their age and physical condition; - they do not work for long hours or under dangerous or exploitative conditions and - their parents supervise and guide them.	There are indications of children working in ways not permitted by the standard being a common occurrence.	There are indications that, on rare occasions, members' children perform non-suitable work during non-suitable times and the organization did not take any actions.	There are no indications of children working in ways not permitted by the standard OR if it happens on rare occasions the organization took action.	RANK 3 AND The organization has an awareness raising programme for members that identifies suitable work.	RANK 4 and there is a procedure in place to controls this at member level.	0	M		afforestation, reforestation, energy efficiency, renewable energy	
3.3.3	PO	3.3.03	You and your members do not submit workers of less than 18 years of age to any type of work which, by its nature or the circumstances under which it is carried out, is likely to jeopardize their health, safety, morals or their school attendance.	There are indications of workers of less than 18 years working in ways not permitted by the standard being a common occurrence.	There are indications that, on rare occasions, workers of less than 18 years perform non-suitable work and the organization did not take any actions.	There are no indications of workers of less than 18 years working in ways not permitted by the standard OR if it happens on rare occasions the organization took action.	RANK 3 AND The organization has an awareness raising programme for members that identifies suitable work.	RANK 4 and there is a procedure in place to controls this at member level.	0	M		afforestation, reforestation, energy efficiency, renewable energy	
3.3.4	PO	3.3.04	(Applicable if in the past you or your members employed children under 15 for any type of work, or children under 18 for dangerous and exploitative work.) You ensure that those children do not enter or are not at risk of entering into even worse forms of labor.	The organization is unaware of the current situation of children that had been employed in the past.	The organization is aware of current situation of children that had been employed in the past BUT did not take any remedial actions.	The organization can provide details of all children working in the past and their current safe situation.	RANK 3 AND the members are trained on child rights.	The organization has developed a child rights based remediation policy and program in partnership with a governmental or international organization AND the policy includes a clear statement against child labour and remediation projects for the protection of children.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.3.4	PO	3.3.05	(Applicable if in the past you or your members employed children under 15 for any type of work, or children under 18 for dangerous and exploitative work.) You and your members implement relevant procedures to prevent children below the age of 15 from being employed for any work and children below the age of 18 from being employed in dangerous and exploitative work.	The organization did not consider any measure.	The organization has ideas of how to improve but these have not been implemented.	The organization has implemented some control measures such as keeping records at member level.	The organization has implemented some control AND preventive measures (such as investments to eradicate root causes).	The organization has developed a child rights based remediation policy and program in partnership with a governmental or international organization AND the policy includes a clear statement against child labour and remediation projects for the protection of children.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.4 Freedom of Association and Collective Bargaining													
3.4.1	PO	3.4.01	You and your members ensured that all workers are free to join a workers' organization of their own choosing and that they are free to participate in group negotiation of their working conditions.	Workers are not free to join a workers' organization.	There are substantial indications of opposition in practice.	There are no indications of opposition nor denial that workers join a worker's organization.	RANK 3 AND all workers are aware.	RANK 4 AND the organization actively encourages workers.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.4.1	PO	3.4.02	You and your members have not denied these rights in practice or opposed to them in the last two years. In case there was opposition to these rights, circumstances have changed substantially since then.	There are substantial indications of recent opposition in practice, for example dismissals or reallocation of work of union leaders.	There were substantial indications of opposition in practice in the last 2 years AND the conditions did not change substantially.	There are no indications of opposition nor denial in the last 2 years OR there was opposition but the conditions changed substantially (e.g. change of management).	RANK 3 AND workers' organization(s) exist and collective negotiation takes place.		0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.4.2	PO	3.4.03	You allow the trade unions that do not have a base in the organization to meet workers and share information. There is no interference in these meetings.	Organization does not allow these meetings.	Organization allows these meetings but interferes (e.g. by being present or sending observers).	Organization allows such meetings AND does not interfere.	Organization allows such meetings and provides reasonable resources, including paid working time, for this.	Organization actively encourages workers to meet and provides reasonable resources, including paid working time, for this.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.4.3	PO	3.4.04	You do not discriminate against workers and their representatives for organizing, joining (or not) a workers' organization or for participating in the legal activities of the workers' organization.	There is proof of discrimination of workers related to workers' organization.	There are indications of this type of discrimination happening on rare occasions and no actions are taken to change the situation.	There are no indications of this type of discrimination OR successful measures have been taken to prevent this from happening again.	There are no cases of any kind of discrimination.	RANK 4 AND the organization has a training/awareness programme for managers to prevent discrimination.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.4.3	PO	3.4.05	If a workers' organization representative is dismissed you immediately inform the FLOCERT and explain the reasons.	Organization cannot explain reasons for dismissals and auditor finds indications of discrimination.	Organization cannot explain reasons for dismissals.	Notice sent in due time by organization OR there were no dismissals and organization is aware of this requirement.			0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.4.3	PO	3.4.06	Records of all terminated contracts indicating the reason for termination and whether the worker was a member of a union or workers' organization are in place.	There are no records of terminated contracts.	There are records BUT they are incomplete.	Organization maintains complete records for own workers and makes members aware of this requirement (if applicable).	Organization maintains complete records for own and member's workers (if applicable).	RANK 4 AND workers' representatives signs them.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.4.4	PO	3.4.07	(Applicable if there is no union that is recognized and active in the region, if unions are forbidden by law, if unions are managed by government and not by members, and if there are enough workers employed to elect a workers' organization) You encourage workers to democratically elect a workers' organization to negotiate and to defend their interests.	The organization opposed creation of a workers' organization.	The organization did not take any measure to encourage workers.	The organization took measures to encourage workers (e.g. provide information, raise awareness, etc.).	RANK 3 AND this leads to a workers' organization being elected.	RANK 4 AND training for workers' representatives if organized.	3	D		afforestation, reforestation, energy efficiency, renewable energy	

3.4.4	PO	3.4.08	Workers are provided training during paid working time for improving their awareness about workers' rights and duties.	Training was not provided.	Training was provided but not during paid working time.	At least one training on workers rights and duties was provided within paid working time.	RANK 3 AND there is an ongoing training plan.	RANK 4 AND workers are consulted on the topics to be covered.	3	D			afforestation, reforestation, energy efficiency, renewable energy	
3.5			Conditions of Employment											Significant number of workers set at 20 for all project types and regions.
3.5.1	PO	3.5.01	(Only applicable for organizations and/ or individual members with more than 20 workers) You set salaries for workers according to Collective Bargaining Agreements regulations where they exist or at regional average wages or at official minimum wages for similar occupations, whichever is the highest.	Salaries < legal minimum or CBA regulations OR it is impossible to calculate reference salaries.	Salaries ≥ legal minimum or CBA regulations but < regional average wages.	Salaries ≥ legal minimum, CBA or regional average.		RANK 3 AND all legal provisions and agreed benefits are respected for all workers.	0	C			afforestation, reforestation, energy efficiency, renewable energy	
3.5.1	PO	3.5.02	(Only applicable for organizations and/ or individual members with more than 20 workers) You have specified wages for all employee functions.	Salaries are only set for some employee functions.	Salaries are set for nearly all employee functions.	Salaries are set for all employee functions.	Salary categories are specified in writing AND all interviewed workers know roughly how much they will earn.	Salary categories are specified in writing and made known to all workers in a way that is easy to understand.	0	C			afforestation, reforestation, energy efficiency, renewable energy	
3.5.2	PO	3.5.03	(Only applicable for organizations and/ or individual members with more than 20 workers) For work based on production, quotas and piece-work, during normal working hours, you pay the proportionate minimum wage or the relevant industry average, whichever is higher.	On average, pieceworkers earn < 80% of the equivalent salary on a monthly average OR it is impossible to estimate pieceworkers' earnings.	On average, pieceworkers earn 99-80% of the equivalent salary on a monthly average.	On average, pieceworkers earn at least the equivalent salary on a monthly average.	On average, pieceworkers earn < 80% of the equivalent salary everyday.	RANK 4 AND the payments to pieceworkers is documented in a transparent way	0	C			afforestation, reforestation, energy efficiency, renewable energy	
3.5.2	PO	3.5.04	(Only applicable for organizations and/ or individual members with more than 20 workers) The method to calculate the pay rate for work based on production, quotas and piece-work is made transparently and accessible to workers and workers' organizations. Workers agree that this rate is fair.	There are no fixed rates OR the calculation is purposely unclear.	Interviewed workers are not able to calculate roughly how much they will earn.	Organization informs verbally AND all interviewed workers are able to calculate roughly how much they will earn AND agree that the rate is fair.	Calculation methods are specified in writing AND all interviewed workers are able to calculate roughly how much they will earn AND agree that this is fair.	Rates and an explanation of the method used to reach that rate are specified in writing and made known to all workers in a way that is easy to understand and workers agree that this is fair.	0	C			afforestation, reforestation, energy efficiency, renewable energy	
3.5.2	PO	3.5.05	(Only applicable for organizations and/ or individual members with more than 20 workers) You do not use production, quotas and piece-work employment as a means to avoid time-bound contracts.	Piece work is the main form of employment, clearly used in order to avoid time bound contracts and legal obligations.	There is only little piece work, but this is used to avoid time bound contracts and legal obligations.	There is no piece work OR the concerned workers prefer this type of employment.	Rank 3 AND some benefits are paid to workers employed on piece work.	RANK 4 AND all statutory benefits are paid in line with permanent employment.	0	C			afforestation, reforestation, energy efficiency, renewable energy	
3.5.3	PO	3.5.06	(Only applicable for organizations and/ or individual members with more than 20 workers) You make payments to workers at regularly scheduled intervals and document them with a pay slip containing all necessary information.	Payment is constantly delayed beyond agreed periods OR payment has been outstanding by more than one month.	Payment is often delayed beyond agreed periods OR is generally very irregular OR only poor documentation of payments is available.	Payment is usually made on time AND pay slips with all necessary information are provided to workers.	Payment is always made on time AND workers have been explained the details shown in the pay slip.	RANK 4 AND workers additionally receive a consolidated pay slip every year.	0	C			afforestation, reforestation, energy efficiency, renewable energy	
3.5.3	PO	3.5.07	(Only applicable for organizations and/ or individual members with more than 20 workers) Payment is made in legal tender. Only if the worker explicitly requests it you make payments in kind.	Payment is made in kind and the employee has not requested nor agreed to this.	Payment is partly made in kind and the employee has not agreed to this.	Payment is made in legal tender OR requests for payment in kind are documented.		Payment is made in the way chosen by workers AND if payment is made in cash the organization took measures to prevent assaults.	0	C			afforestation, reforestation, energy efficiency, renewable energy	
3.5.4	PO	3.5.08	(Only applicable for organizations and/ or individual members with more than 20 workers) You set maternity leave, social security provisions and non-mandatory benefits according to either - national laws, or - CBA regulations where they exist, or - the agreement signed between the workers' organization and the employer, whichever is the most favorable for the worker.	None of these benefits are paid.	The organization is late in paying legal social security provisions OR is not declaring full wages, therefore leaving workers partly uncovered in case of sick leave, disability and accident.	The organization fulfills all legal/agreed provisions.	RANK 3 AND has a plan for increasing them gradually.	The organization complements benefits beyond legal/agreed provisions.	3	D			afforestation, reforestation, energy efficiency, renewable energy	
3.5.5	PO	3.5.09	(Only applicable for organizations and/ or individual members with more than 20 workers) You have legally binding written contracts of employment with all permanent workers that include at least: - the job duties related to the position; - protection of the worker from loss of pay in the case of illness, disability or accident; - and a notice period for termination that is the same as to the notice period of the employer.	Permanent workers are not legally hired.	Not all permanent workers have a signed written contract OR the contracts do not contain the required details.	All permanent workers have signed contracts that include at least the required details and comply with applicable labor law.	RANK 3 AND contracts additionally include details like wages and other benefits, working hours, rest and lunch hours, overtime compensation, holidays.	RANK 4 AND details of the contracts are verbally explained in a language understood by the worker.	3	D			afforestation, reforestation, energy efficiency, renewable energy	
3.5.6	PO	3.5.10	(Only applicable for organizations and/ or individual members with more than 20 workers) You provide workers with a copy of the signed employment contract.	No signed copy provided or there are no contracts.	Signed copy provided only to some workers.	Signed copy is provided to all workers.			3	D			afforestation, reforestation, energy efficiency, renewable energy	
3.5.7	PO	3.5.11	(Only applicable for organizations and/ or individual members with more than 20 workers) You gradually increase salaries above the regional average and the official minimum wage.	Organization pays below legal minimum OR pays substantially below regional average and did not foresee any increases.	Organization pays below regional average for most positions and does not have a plan for increases.	Organization pays in line with regional average or official minimum wage AND has a plan for increases.	RANK 3 AND the plan has already been implemented.	Organization already pays substantially above regional average or official minimum wage in all positions.	3	D			afforestation, reforestation, energy efficiency, renewable energy	

3.5.8	PO	3.5.12	(Only applicable for organizations and/ or individual members with more than 20 workers) You assign all regular work to permanent workers, where possible.	Workers that should perform tasks all year are dismissed in order not to issue permanent contracts OR tasks are artificially divided to issue limited contracts to different persons.	Workers performing tasks all year are subcontracted OR have limited contracts for longer than permitted in national law.	All regular work is assigned to permanent workers OR reasons for assigning regular work to non permanent workers are justified and documented.	All workers performing tasks all year have permanent/unlimited contracts.	All workers performing tasks all year have permanent/unlimited contracts and workers performing seasonal work have discontinued unlimited contracts (if they exist) or similar commitments by the organization.	3	D			afforestation, reforestation, energy efficiency, renewable energy
3.5.9	PO	3.5.13	(Only applicable for organizations and/ or individual members with more than 20 workers) You give local, migrant, seasonal and permanent workers the same or - if not possible - alternative or equivalent benefits and employment conditions for the same work performed.	Benefits and conditions are not equivalent AND the organization did not plan how to bring them to equivalent levels.	Benefits and conditions are not equivalent BUT the organization has planned how to bring them to equivalent levels, but not yet implemented this.	Employment conditions and at least some benefits are the same or equivalent.		RANK 4 AND workers have been consulted on how to choose equivalent benefits if needed.	3	D			afforestation, reforestation, energy efficiency, renewable energy
3.5.10	PO	3.5.14	(Only applicable for organizations and/ or individual members with more than 20 workers) (Applicable if you employ migrant or seasonal workers through a contracting agency or person) You put effective measures in place to ensure that their hiring and working conditions also comply with the Standard.	No measures implemented and hiring and working conditions are not in line with the standard.	Basic measures have been implemented but not followed at all times.	Measures have been implemented and hiring and working conditions are in line with the standard.	RANK 3 AND interviewed workers declare it is always followed and adhered to.	RANK 4 AND interviewed workers declare it is always followed and adhered to and monitoring is done regularly.	6	D			afforestation, reforestation, energy efficiency, renewable energy
3.6 Occupational health and safety													
3.6.1	PO	3.6.01	You ensure that work processes, workplaces, machinery and equipment on the production site safe.	Workplaces, machinery or equipment represent patent important hazards for workers OR there have been fatal or grave accidents without the organization taking any measure.	Indoor workplaces are not equipped against fire hazards OR fire exits are not maintained clear of obstructions OR machinery is dangerous to operate OR the auditor could find other important hazards.	All machinery has appropriate safety devices and there are at least fire exits and fire fighting equipment in indoor workplaces AND the auditor could not find any important hazard.	RANK 3 AND the organization is aware of national regulations on health and safety and proves compliance.	RANK 4 AND there are procedures to ensure and monitor H&S in the work environment	0	M			afforestation, reforestation, energy efficiency, renewable energy
3.6.2	PO	3.6.02	Children under the age of 18 years, pregnant or nursing women, mentally disabled people, people with chronic, hepatic or renal diseases and people with respiratory diseases do not carry out any potentially hazardous work.	The organization hires these persons for unsafe work.	There are indications of these persons being hired for unsafe work on rare occasions but the organization did not take any action.	The organization is aware of this rule AND auditor did not find any indications of this happening OR happening in rare occasions but the organization took actions.		The organization has an internal system to identify these persons and related hazardous work AND an awareness raising programme for members.	0	M			afforestation, reforestation, energy efficiency, renewable energy
3.6.2	PO	3.6.03	(Applicable in case a change of work is necessary to comply with the above) You provide alternative work for children under the age of 18 years, pregnant or nursing women, mentally disabled people, people with chronic, hepatic or renal diseases and people with respiratory diseases to prevent them from carrying out hazardous work.	Organization dismissed workers for this reason without guaranteeing a new position elsewhere or compensation.		Organization guaranteed a new position elsewhere OR offered compensation (e.g. school grants, employment of an adult healthy family member, etc.).	Organization offered a new position in the organization.	Organization offered a new position in the organization with the same salary benefits and conditions.	0	C			afforestation, reforestation, energy efficiency, renewable energy
3.6.3	PO	3.6.04	You provide workers with first aid boxes and equipment and a sufficient number of people trained in first aid in the workplace at all times.	No kit OR no first aid training.	Insufficiently equipped kit OR no refresher first aid training in the last 3 years.	Accessible and equipped kit in central workplace AND sufficient people are trained (one person per 30 workers or less).	RANK 3 AND annual refresher training on first-aid.	RANK 4 AND there are procedures to ensure and monitor H&S in the work environment.	0	C			afforestation, reforestation, energy efficiency, renewable energy
3.6.4	PO	3.6.05	You provide workers with clean drinking water and clean toilets with hand washing facilities close by; clean showers are provided for workers who handle pesticides.	No water is provided OR water is not free of charge for workers OR cannot differentiate potable from non-potable water.	No hand washing facilities OR hand washing facilities are too far away from toilets or place for eating with the results of not being used OR no soap available.	Potable water in central place AND at least one hand washing facility at close distance from toilets and place for eating.	Potable water is accessible in all working sites except the fields AND at least one hand washing facility at close distance from toilets and place for eating.	Potable water is accessible in all working sites and carried by organization to fields AND hand washing facilities in the same place as toilets and in place for eating.	0	C			afforestation, reforestation, energy efficiency, renewable energy
3.6.4	PO	3.6.06	The showers and toilets are separate for women and men and the number is proportional to the number of workers.	No toilets nor showers in the operation.	Showers and toilets are not separate for women and men OR the number is not proportionate to the number of workers.	Clean stocked toilets (and showers if applicable) in a proportion 20:1 that can be locked.			0	C			afforestation, reforestation, energy efficiency, renewable energy
3.6.5	PO	3.6.07	You enable workers to nominate a representative who knows about health and safety issues and who will raise workers' concerns on health and safety issues with the organization's management.	No actions taken with workers AND no person nominated.	A person is nominated but is not in the position to perform the relevant activities.	A person is nominated AND meets with management regarding health and safety issues.	RANK 3 AND receives training to improve skills.	RANK 4 AND has participated in developing a H&S Policy.	3	D			afforestation, reforestation, energy efficiency, renewable energy
3.6.6	PO	3.6.08	Workers who carry out hazardous work have been trained regarding the risks to their health and environment because of this work and on what to do in case of an accident.	There is no active information for workers performing hazardous work.	There has been training but not available for all workers performing hazardous work OR lacking important content.	There has been training of workers performing other hazardous work, focusing on preventive measures.	RANK 3 AND there is a regular full training plan for workers performing hazardous work.	As the result of training, all interviewed workers performing hazardous work are aware of risks, and know how to prevent them.	3	C			afforestation, reforestation, energy efficiency, renewable energy
3.6.7	PO	3.6.09	You display all information, safety instructions, re-entry intervals and hygiene recommendations for hazardous work clearly in a visible place in the workplace in the local language(s) and with pictograms.	There is no safety information available.	There is safety information available, but not understood by all workers.	Relevant information is posted in all areas in local language or pictorial safety signs are.	RANK 3 AND verbally explained at induction.	RANK 4 AND explanation takes place in regular intervals.	3	C			afforestation, reforestation, energy efficiency, renewable energy

3.6.8	PO	3.6.10	You provide and pay for personal protective equipment (PPE) for all workers who perform hazardous work. Workers use the personal protective equipment provided.	Workers work unprotected as common practice.	Basic measures have been implemented BUT interviewed workers recognized it is not used at all times OR workers are charged for PPE OR penalized for normal wear-out.	Workers are provided with free essential PPE AND interviewed workers declare it is used at all times.	RANK 3 AND PPE is adapted to local weather conditions as much as possible in order to increase usability.	Rank 4 AND there is a procedure in place to monitor use of PPE at member level.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
3.6.8	PO	3.6.11	Replacement of personal protective equipment is ordered and distributed when the existing equipment wears out.	PPE used is very worn out AND does not ensure protection.	Workers indicate when it needs replacement but new equipment is not distributed.	Workers indicate when it needs replacement and new equipment is distributed immediately.	There is an effective system in place to identify when PPE needs replacement.	Rank 4 AND there is a procedure in place to monitor this at member level.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
4 Environmental Development													
4.1 Pest Management													This section applies to the FCC project area.
4.1.1	PO	4.1.01	You do not use any materials listed in the Fairtrade International Prohibited Materials List (PML) Part 1 (Red List) on FCC production.	The organization actively promotes use of forbidden materials OR use was found in > 5% of sampled producers.	Use was found in < 5% of sampled producers BUT the organization has not developed a procedure to ensure that members do not use PML material.	Use was found in < 5% of sampled producers AND the organization has developed a procedure to ensure that members do not use PML material.	No use was detected in audit AND the organization has developed a procedure to ensure that producers do not use PML material.	RANK 4 and monitoring does take place.	0	M		afforestation, reforestation	
4.1.1	PO	4.1.02	Prohibited materials are clearly marked as not for use on FCCs.	No	No	Yes			0	C		afforestation, reforestation	
4.1.2	PO	4.1.03	(Only applicable in case of granted derogation for PML use) Materials on the Fairtrade International Prohibited Material List (PML), part 1, Red List are only used if previously requested and permission was granted by FLOCERT. You demonstrate that the use of these materials is minimized and undertaken only in case of definite need, used under appropriate health and safety conditions and using advanced techniques.	No	Practice does not match documentary evidence previously provided to FLOCERT.	Derogation granted and practice meets requirements.	RANK 3 AND clear proof that all measures have been implemented and are effective.	RANK 4 and measures beyond the requirements have been implemented.	0	C		afforestation, reforestation	
4.1.2	PO	4.1.04	(Only applicable in case of granted derogation for PML use) An appropriate plan and record to substitute these materials has been developed and implemented.	There is no plan.	A plan and records exist but it lacks details on how to substitute the materials OR the plan is not implemented	Records and plans for substituting materials are operational but still lack some details for successful long-term substitution.	Records and plans for substitution of materials are fully operational and followed.	RANK 4 AND records are fully up to date.	0	C		afforestation, reforestation	
4.1.3	PO	4.1.05	You do not use pesticides and other hazardous chemicals within 10 meters from ongoing human activity (housing, canteens, offices, warehouses or the like with people present) or above and around water sources. A buffer zone of at least 10 meters is kept unless there is a barrier that effectively reduces pesticide drift. Alternatively, appropriate re-entry intervals are applied so that people are not affected by pesticide drift.	There are no buffers and pesticides reach sites of ongoing human activity.	On average buffers are narrower than 10m AND the producers cannot demonstrate that these buffers effectively stop pesticide drift into areas of ongoing human activity .	Buffer strips (of 10m on average, or narrower but with a dense barrier) are respected.	RANK 3 AND the organization carried out awareness activities.	RANK 4 AND there is a procedure in place that works well and can guarantee this at member level.	0	C		afforestation, reforestation	A buffer can be either spatial or temporal.
4.1.4	PO	4.1.06	All pesticides and hazardous chemicals are clearly labelled indicating contents, warnings, and intended uses.	Most pesticides stored are not labelled.	Some pesticides are not labelled, even if the producer can identify content.	Pesticides are labelled indicating contents, warnings and intended use	RANK 3 AND in the original container.	RANK 4 AND there is a procedure in place that works well and can guarantee this at member level.	3	D		afforestation, reforestation	
4.1.5	PO	4.1.07	You have equipment to handle accidents and spills in the areas where pesticides and other hazardous chemicals are prepared or mixed, in order to prevent these from seeping into soil or water.	No equipment to handle accidents and spills available.	Equipment to handle accidents and spills in storage areas but not where pesticides are mixed OR if spills happen contaminated soil is disposed in a way that poses risks to people and the environment.	Equipment to handle accidents and spills available at all places where pesticides are mixed or prepared AND if spills happen contaminated soil is disposed in a way that minimizes risks to people and the environment.	RANK 3 AND the organization took measures to further guarantee compliance (for example: awareness raising, sample internal inspections, peer-review).	RANK 4 AND there is a procedure in place that works well and can guarantee this at member level.	6	D		afforestation, reforestation	
4.1.5	PO	4.1.08	Spraying is planned in such a way as to have no or very little spray solution left.	Usually considerable amounts of spray solution are left.	Usually considerable amounts of spray solution are left BUT the organization carried out awareness activities.	No considerable amounts of spray solution are left.	RANK 3 AND the organization carried out awareness activities.	RANK 4 AND there is a procedure in place that works well and can guarantee this at member level.	6	D			
4.1.6	PO	4.1.09	Empty containers are triple rinsed, punctured and stored properly. All equipment that has been in contact with pesticides is cleaned and stored properly.	These practices are not followed.	These practices are not always followed BUT the organization carried out awareness activities.	These practices are followed.	RANK 3 AND the PO carried out awareness activities.	RANK 4 AND there is a procedure in place that works well and can guarantee this at member level.	3	D		afforestation, reforestation	
4.1.7	PO	4.1.10	You provide training to members on the subject of integrated pest management including: - monitoring of pests and diseases - alternative ways to control pests and diseases - preventive measures against pests and diseases and - measures to avoid that pests and diseases build up resistance to pesticides.	The organization did not carry out any training.	Less than 50% of members have been trained OR content/quality of training was insufficient OR training reports with signed list of participants are not available.	At least 50% of members have been trained AND content of training was sufficient.	At least 80% of members have been trained AND content of training was sufficient AND training materials are clear.	RANK 4 AND some IPM measures are implemented.	3	D		afforestation, reforestation	

4.1.8	PO	4.1.11	You provide training to members and workers who handle pesticides and other hazardous chemicals about the risks of handling these materials and on how to handle them properly. Training includes: - how to properly store pesticides and other hazardous chemicals especially so they cannot be reached by children, - how to understand the product label and other safety instructions made available by the manufacturer, - handling of accidents and spills when preparing and applying pesticides - proper handling and disposal of empty pesticide containers. This includes triple rinsing and puncturing containers. - intervals of time when people are not allowed to enter a sprayed area or field without any personal protection equipment.	The organization did not carry out any training.	Less than 50% of persons handling pesticides have been trained OR content/quality of training reports with signed list of participants are not available.	At least 50% of persons handling pesticides have been trained AND content of training was sufficient.	At least 80% of persons handling pesticides have been trained AND content of training was sufficient AND training materials are clear.	RANK 4 AND measures are indeed implemented.	0		C			afforestation, reforestation	
4.1.9	PO	4.1.12	Actions have been taken to raise awareness amongst all members and workers of the hazards and risks related to pesticides and other hazardous chemicals, even if they are not directly handling these materials.	The organization did not carry out any information activity.	Less than 50% of members and workers have been informed OR content/quality of information was insufficient.	At least 50% of members and workers have been informed AND content of information was sufficient.	At least 80% of members and workers have been informed AND content of information was sufficient AND there are informative materials.	RANK 4 AND there is a plan for refresher sessions.	3		D			afforestation, reforestation	
4.1.10	PO	4.1.13	You maintain storage areas for pesticides and other hazardous chemicals in a way that minimizes risk. The storage area: • is locked and accessible only to trained and authorized personnel • is ventilated to avoid concentration of toxic vapors • has equipment, such as absorbent materials, to handle accidents and spills • does not contain food • contains hazardous materials clearly labelled and indicating contents, warnings, and intended uses, preferably in the original container when possible, and • contains information on proper handling (safety sheets).	Storage areas do not minimize risks and due to their location represent a high risk of accidents.	Storage areas do not fulfil all listed requirements to minimize risks.	Storage areas fulfil all listed requirements to minimize risks.	RANK 3 AND amount of material in stock is as small as possible.	RANK 4 AND there are additional safety measures such as contact details for emergencies, fire extinguishers, waterproof floor, concrete curbing, sloped floor, etc.	0		C			afforestation, reforestation	
4.1.11	PO	4.1.14	A list of the pesticides used on FCC production has been compiled. It is updated at least once every 3 years. The list indicates which of those pesticides are in the Fairtrade International Prohibited Materials List (PML), part 1, Red List and part 2, Amber List.	There is no list.	The list has does not match reality OR the list has not been updated for more than 3 years OR the list does not identify Red List / Amber List pesticides.	There is a sufficiently complete list. The list was developed based on local knowledge and informal communication with registered producers.	There is a sufficiently complete list. The list was developed based on documentation at producer level.	There is an accurate list AND organization regularly documents pesticides used by members and also monitors pesticides available in surrounding stores.	0		C			afforestation, reforestation	
4.1.12	PO	4.1.15	A procedure is in place to ensure that the producers do not use any materials listed in the Fairtrade International Prohibited Materials List (PML) Part 1 (Red List) on FCC production. The procedure includes at least activities that raise the producers' awareness of the PML.	The PO did not consider any measure.	The PO has ideas of how to improve but they have not been implemented OR implemented measures but not described them in writing.	The PO has implemented at least one measure on awareness raising, i.e. providing information to registered producers in any form AND described it in writing.	The PO has implemented a series of measures (for example: records at producer level, awareness raising, sample internal inspections, peer-review) AND described them in writing.	RANK 4 AND there is an (organic) Internal Control System that covers all registered producers AND that works well.	0		C			afforestation, reforestation	
4.1.13	PO	4.1.16	You implement measures to ensure that all people, including members and workers, wear appropriate personal protective equipment (PPE) when handling pesticides or hazardous chemicals.	No measures implemented and members and/or workers work unprotected as common practice.	Basic measures have been implemented BUT PPE is not used at all times OR workers are charged for PPE OR penalized for normal wear-out.	Measures have been implemented and members have access to PPE AND workers are provided with free essential PPE.	RANK 3 AND interviewed members and workers declare it is used at all times.	RANK 4 AND PPE is adapted to local weather conditions as much as possible in order to increase usability, or no pesticides or hazardous chemicals are used.	3		C			afforestation, reforestation	
4.1.14	PO	4.1.17	You minimize the use of herbicides by implementing other weed prevention and control strategies.	The PO did not carry out any activity.	Less than 50% of members who use herbicides benefited from training or other promoting activity on weed prevention and control strategies.	At least 50% of members who use herbicides have been trained on weed prevention and control strategies OR the PO carried out other promoting activities with the same reach.	At least 80% of members who use herbicides have been trained on weed prevention and control strategies OR the PO carried out other promoting activities with the same reach.	RANK 4 AND some preventive and some alternative weed prevention and control strategies are implemented.	3		D			afforestation, reforestation	
4.1.15	PO	4.1.18	You provide training for producers on the appropriate use of fertilizers. The training includes: • measures to ensure that fertilizers (organic and inorganic) are applied in amounts that respond to the nutrient need of the crop or tree • measures to store fertilizers separately from pesticides in a way that minimizes risks of polluting water.	The PO did not carry out any training.	Less than 50% of members have been trained OR content/quality of training was insufficient.	At least 50% of members have been trained AND content of training was sufficient.	At least 80% of registered producers have been trained AND content of training was sufficient AND training materials are clear.	RANK 4 AND some preventive and corrective measures are implemented.	6		D			afforestation, reforestation	
4.2 Soil and Water															
4.2.1	PO	4.2.01	You have identified land at risk of soil erosion and land that is already eroded in the fields.	The PO did not make any identification AND there are important potential or existing erosion problems.	The PO did not make any identification.	The PO documented (in writing or maps) potential and existing general problems in the area.		The PO inspected all areas and documented in writing potential and existing problems.	3		D			afforestation, reforestation	
4.2.1	PO	4.2.02	You provide training on practices that reduce and/or prevent soil erosion to those members of the organization where risk of soil erosion or already eroded land have been identified.	The PO did not carry out any training OR failed to identify existing soil erosion problems.	Less than 50% of affected members have been trained OR content/quality of training was insufficient.	At least 50% of affected members have been trained AND content of training was sufficient.	At least 80% of affected members have been trained AND content of training was sufficient AND training materials are clear.	RANK 4 AND some preventive and corrective measures are ongoing.	3		D			afforestation, reforestation	

4.2.2	PO	4.2.03	You have prepared a report on measures that were implemented to improve soil fertility and condition.	The PO did not prepare any report and cannot explain measures taken.	The PO did not prepare any report but can explain measures taken.	The PO prepared a basic report but some existing measures that could be reported are missing.	The PO evaluated internally the measures taken and prepared a complete report.	RANK 4 AND the PO benefits from advice from local experts such as authorities, universities or NGOs OR updates the report adding new practices/measures.	3	D			afforestation, reforestation
4.2.3	PO	4.2.04	A list of water sources used for irrigating trees of the FCC project area exists. The project is located according to local watershed.	The PO does not know where irrigation water comes from.	The PO only knows some of the sources OR knows all sources but this is not reflected in writing.	The PO has a map or written list of all sources of water for irrigation and processing with basic information (river, seasonal river, artificial dam, underground well, rain water collector, etc.).	RANK 3 AND with identified irrigation method: surface (basin, furrow, sprinkler, drip, etc.).	RANK 4 AND the PO can prove that the use of all sources is authorized.	3	D			afforestation, reforestation
4.2.4	PO	4.2.05	You stay informed about the situation of the water sources in the project area and ensure that the project does not lead to the depletion of water sources that are used by the local communities.	The organization is not aware of the situation of water sources AND does not know where to get this information from.	The organization is aware of the situation of water sources BUT has no approach to avoid depletion.	The organization is aware of the situation of their water sources AND especially monitors water sources used by the local communities.	RANK 3 AND the organization has drafted a plan to improve sustainability of water sources.	RANK 4 AND the plan has been set up in coordination/cooperation with the community and relevant authorities.	6	D			afforestation, reforestation
4.2.4	PO	4.2.06	(Applicable in case local environmental authorities or other entities consider that water sources in the project are being depleted, or are in a critical situation or under excessive pressure.) You have engaged in a dialogue with the authorities or local existing initiatives in order to identify possible ways to be involved in research or solution finding.	There is an identified problem and the PO did not contact relevant stakeholders.		The PO has contacted relevant stakeholders AND there is documentation of the dialogue.	RANK 3 AND solutions have been identified.	RANK 4 AND actions are taken to implement identified solutions.	6	D			afforestation, reforestation
4.2.5	PO	4.2.07	You train members on measures to use water efficiently. The training includes: • estimating how much water is needed for the production process; • measuring (or estimating) how much water is extracted from the source; • optimizing and maintaining the water distribution system; • adopting, where applicable, methods to recirculate, reuse and/or recycle water.	The PO did not carry out any training.	Less than 50% of members have been trained OR content/quality of training was insufficient.	At least 50% of members have been trained AND content of training was sufficient.	At least 80% of members have been trained AND content of training was sufficient AND training materials are clear.	RANK 4 AND some procedures for efficient use of water are implemented.	3	D			afforestation, reforestation
4.2.6	PO	4.2.08	You handle waste water from central processing facilities in a manner that does not have a negative impact on water quality, soil fertility or food safety.	Quality of waste water has not been analyzed OR no measures for adequate handling are in place.	Quality of waste water has been analyzed BUT quality is below the levels required by legislation OR organization does not know quality levels required by legislation.	Quality of waste water has been analyzed AND quality is equal or above the levels required by legislation OR, if quality is below required levels, adequate measures have been implemented.	RANK 3 AND analysis is made regularly.	RANK 4 AND the PO has a plan for further improving quality of waste water.	6	D			afforestation, reforestation
4.2.7	PO	4.2.09	You provide training to members about waste water and its related health risks as well as on risks related to waste water treatment methods.	The PO did not carry out any training.	Less than 50% of members have been trained OR content/quality of training was insufficient.	At least 50% of members have been trained AND content of training was sufficient.	At least 80% of members have been trained AND content of training was sufficient AND training materials.	RANK 4 AND some measures for waste water treatment / improvement of sanitation conditions are implemented.	6	D			afforestation, reforestation
4.3			Waste										
4.3.1	PO	4.3.01	You ensure that members keep project areas free of hazardous waste.	Hazardous waste is found on in the project area outside designated storage areas.	Hazardous waste is found on the project area outside designated storage areas BUT the PO carried out awareness activities.	No hazardous waste is found on project area (except on designated storage areas).	RANK 3 AND the PO carried out awareness activities.	RANK 4 AND there is a well working procedure in place to monitor correct disposal.	1	C			afforestation, reforestation, energy efficiency, renewable energy
4.3.2	PO	4.3.02	You raise awareness amongst members about re-using waste when possible.	The PO did not carry out any information activity	Less than 50% of members have been informed OR content/quality of information was insufficient.	At least 50% of members have been informed AND content of information was sufficient.	At least 80% of members have been informed AND content of information was sufficient AND there are informative materials.	RANK 4 AND some measures for re-using organic waste are implemented.	3	D			afforestation, reforestation, energy efficiency, renewable energy
4.3.2	PO	4.3.03	(Applicable if you burn organic waste) You only burn organic waste if it is required by applicable legislation for sanitary purposes or if it is proven to be the more sustainable practice.	Organic waste is burned when not required by legislation AND/OR when proven that it is the unsustainable practice.	Less than 50% of members stop burning when not required by legislation and when not proven that it is the more sustainable practice.	The majority of members does not burn organic waste when not required by legislation and when not proven that it is the more sustainable practice.	At least 80% of members do not burn organic waste when not required by legislation and when not proven that it is the more sustainable practice.	RANK 4 AND the PO monitors practices at member level.	3	D			afforestation, reforestation, energy efficiency, renewable energy
4.3.3	PO	4.3.04	You reduce, reuse, handle and recycle waste in a manner that is appropriate to the respective materials.	Waste is not reduced, reused, handled and recycled in a manner appropriate to the respective materials.	Less than 50% of members reduce, reuse, handle and recycle waste in a manner appropriate to the respective materials.	At least 50% of members reduce, reuse, handle and recycle waste in a manner appropriate to the respective materials.	At least 80% of members reduce, reuse, handle and recycle waste in a manner appropriate to the respective materials.	RANK 4 AND the PO monitors practices at member level.	3	D			afforestation, reforestation, energy efficiency, renewable energy
4.3.4	PO	4.3.05	You have designated areas for the storage and disposal of hazardous waste.	Members do not have designated storage areas AND keep hazardous waste.	Members do not have designated storage areas BUT no hazardous waste is found during the audit.	Members have designated storage areas individually or at central areas where risks are minimized.	The PO provides an alternative storage where risks are minimized.	The PO has access to resources which eliminate hazardous waste permanently without burning.	3	D			afforestation, reforestation, energy efficiency, renewable energy
4.3.4	PO	4.3.06	(Applicable in the absence of appropriate disposal facilities) Small amounts of hazardous farm waste are only burned in a well-ventilated area away from people, animals or crops and if it is allowed by local regulation and all safety recommendations are followed.	Hazardous waste is burned without being allowed by regulation.	Burning of hazardous waste is allowed by regulation BUT members do not follow good practices.	Hazardous waste is not burned OR burned only if allowed by regulation and following good practices.			3	D			afforestation, reforestation, energy efficiency, renewable energy

4.4										afforestation, reforestation		
4.4.1	PO	4.4.01	You do not intentionally use genetically engineered seed or planting stock in the FCC project area and implement practices to avoid GM contamination in seed stocks.	PO knowingly uses GMO seeds/planting stock.	The PO does not know where seeds/plants are obtained from OR most members are unaware of local risks.	There is no system but no contamination is detected (mistakes allowed up to 5% of crop) AND most interviewed members could identify the local risks.	RANK 3 AND the PO has prepared awareness raising programs OR offers members the tools to avoid contamination.	RANK 3 AND the PO has prepared awareness raising programs AND offers members the tools to avoid contamination.	0	C	afforestation, reforestation	
4.5										afforestation, reforestation, energy efficiency, renewable energy		
4.5.1	PO	4.5.01	You avoid negative impacts on protected areas and areas with high conservation values within or outside the FCC project area. The project area complies with national legislation relating to agricultural land use.	Protected or HCV areas have been totally/partly destroyed by members (since application for certification) AND the project area does not comply with national legislation.	Members are not aware of local protected/HCV areas OR the project area does not comply with national legislation.	The PO informed members of local protected/HCV areas and there are no indicators of negative impacts AND the project area does comply with national legislation.	RANK 3 AND the PO effectively controls activities of members in protected areas.	RANK 4 AND the conservation of local protected/HCV areas is actively promoted.	0	C	afforestation, reforestation, energy efficiency, renewable energy	
4.5.2	PO	4.5.02	You maintain buffer zones around water bodies and watershed recharge areas and between production areas and areas of high conservation value, either protected or not. Pesticides, other hazardous chemicals and fertilizers are not applied in buffer zones.	No distance is maintained around water bodies/watershed recharge areas and protected/HCV areas OR pesticides, other hazardous chemicals and fertilizers are applied in buffer zones.	Distance is maintained around water bodies/watershed recharge areas or protected/HCV areas BUT not enough to avoid any potential drift of application of pesticides or fertilizers.	Enough distance is maintained around water bodies/watershed recharge areas or protected/HCV areas so to avoid any drift of application of pesticides or fertilizers.	There are uncultivated buffer zones around water bodies/watershed recharge areas or protected/HCV areas AND production areas.	RANK 4 AND buffer zones are restored with natural vegetation and (if feasible) connected.	6	D	afforestation, reforestation, energy efficiency, renewable energy	
4.5.3	PO	4.5.03	You prepare a report on activities that are carried out to protect and enhance biodiversity.	The PO did not prepare any report and there are no practices put in place.	The PO did not prepare any report but can explain to auditor the practices in this regard.	The PO prepared a basic report (even if not all relevant practices are included).	The PO evaluated and quantified the practices and prepared a complete report.	RANK 4 AND the PO benefits from advice from local experts such as authorities, universities or NGOs OR updates the report adding new practices.	6	D	afforestation, reforestation, energy efficiency, renewable energy	
4.5.4	PO	4.5.04	You raise awareness amongst producers so that no collecting or hunting of rare or threatened species takes place.	The PO did not carry out any information activity.	Less than 50% of members have been informed OR content/quality of information was insufficient.	At least 50% of members have been informed AND content of information was sufficient.	At least 80% of members have been informed AND content of information was sufficient AND there are informative materials.	RANK 4 AND no collection or hunting of rare and threatened species takes place.	3	D	afforestation, reforestation, energy efficiency, renewable energy	
4.5.5	PO	4.5.05	You raise awareness amongst producers so that alien invasive species are not introduced.	The PO did not carry out any information activity.	Less than 50% of members have been informed OR content/quality of information was insufficient.	At least 50% of members have been informed AND content of information was sufficient.	At least 80% of members have been informed AND content of information was sufficient AND there are informative materials.	RANK 4 AND alien invasive species have not been introduced.	3	D	afforestation, reforestation, energy efficiency, renewable energy	
5										afforestation, reforestation, energy efficiency, renewable energy		
5.1										afforestation, reforestation, energy efficiency, renewable energy		
Contracts										afforestation, reforestation, energy efficiency, renewable energy		
5.1.1	PO, trader	5.1.01	As early as possible in the FCC project cycle, you have signed binding purchase contracts with the producer organization/trader covering the period during which monitoring is carried out and payments are made. The contract indicates: - a clause that the contract would become invalid if the project is never implemented - the agreed volumes, - a delivery schedule, - the price defined according to the requirements of the pricing section (see 5.5); - that the potential differential of prices due to inflation or exchange rate between the date of signature of the contract and date of the payments are to be adjusted according to the inflation and exchange rates of the date of payments; - any amounts of sales income retained by the trader for eventual administrative services - the amounts of Fairtrade Premium to be paid (indicated separately from the price); - who is responsible for paying the Price and the Fairtrade Premium, - the form of payment, which must be transparent and traceable; - the date of the exchange rate to be used in case the payment of the price and the premium is made in a different currency to that defined in the Fairtrade price table; - the terms and amounts of pre-finance, if applicable; - procedures in case of problems with the projects; - agreement on applicable jurisdiction; and - an alternative dispute resolution mechanism agreed by both parties to resolve conflicts (separate from jurisdiction);	No contract was signed.	A contract was signed BUT late in the FCC project cycle AND/OR it is lacking some of the required stipulations.	A contract was signed with all relevant stipulations.	A contract was signed early in the FCC project cycle AND with all relevant stipulations.	A contract was signed before the start of the FCC project cycle AND with all relevant stipulations.	0	C	afforestation, reforestation, energy efficiency, renewable energy	
5.1.2	trader	5.1.02	The contract includes a risk buffer in case a lower amount of FCCs is produced over the course of the project than forecast.	No risk buffer included.		The contract includes a risk buffer.	The contract includes a risk buffer AND the buffer is > 20% of expected credits.	The contract includes a risk buffer AND the buffer is > 30% of expected credits.	0	C	afforestation, reforestation, energy efficiency, renewable energy	For A/R: the obligatory Gold Standard risk buffer is accepted
5.1.2	trader	5.1.03	The contract contains a clause mentioning that the trader cannot step out of the contract unless it pays penalties.	No		Yes			0	C	afforestation, reforestation, energy efficiency, renewable energy	
5.1.3	trader	5.1.04	You have long-term commitments to the producer organization or to the project facilitator on behalf of the producer organization.	No		Yes			3	C	afforestation, reforestation, energy efficiency, renewable energy	

5.1.4	PO	5.1.05	(Applicable if the project under performs or is delayed) You use risk buffer to compensate the underperformance and inform the trader as soon as it becomes clear that the project is underperforming.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
5.1.4	Trader	5.1.06	(Applicable if the project under performs or is delayed) You do not impose financial penalties on the producer organization if the risk buffer is used to compensate underperformance.	Financial penalties are imposed although risk buffer is used.		No financial penalties are imposed.		No financial penalties are imposed even if the risk buffer is not enough.	0	C		afforestation, reforestation, energy efficiency, renewable energy	
6.1.3	trader	5.1.07	(Applicable if the project under performs or is delayed) You have not stepped out of the contract unless you prove that exceptional circumstances apply and delays are unjustifiable.	Withdrawal from the contract without justifiable reasons.		Withdrawal from the contract due to justifiable reasons like misinformation OR excessive delay periods.			0	C		afforestation, reforestation, energy efficiency, renewable energy	
5.2 Sourcing													
5.2.1	trader	5.2.01	You provide sourcing plans to the producer organization.	No sourcing plans provided.		Sourcing plans are provided			0	C		afforestation, reforestation, energy efficiency, renewable energy	
5.2.1	PO	5.2.02	You provide a delivery schedule to your traders that includes quantities, dates of delivery and price.	No delivery schedule provided.	The delivery schedule does not include all details.	A complete delivery schedule is provided.			0	C		afforestation, reforestation, energy efficiency, renewable energy	
5.2.2.	PO	5.2.03	You do not sell the carbon credits as Fairtrade that were issued by the Gold Standard more than one year before Fairtrade certification.	Older credits sold as Fairtrade		All credits sold as Fairtrade are eligible for Fairtrade.			0	C		afforestation, reforestation, energy efficiency, renewable energy	
5.3 Traceability													
5.3.1	PO, trader	5.3.01	You identify FCCs as Fairtrade in all purchase and sales documentation (e.g. in invoices and purchase orders).	FCCs not identified as Fairtrade.	FCCs only sometimes identified as Fairtrade.	FCCs always identified as Fairtrade.			0	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	trader	5.3.02	You only sell FCCs that you have purchased as FCCs and that you have paid Fairtrade Minimum Price and premium for	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	PO, trader	5.3.03	You report on a real-time basis (the same day you make the transaction in the market or national registry) your issuances, sales and purchases of Fairtrade Carbon Credits to FLOCERT (fcc-reporting@flocert.net) using the Excel-reporting sheet provided by FLOCERT. You also report retirements of FCCs that you made on behalf of end buyers.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	
FLOCERT requirement	trader	5.3.04	You have submitted quarterly price and premium reports for all transactions for which the Fairtrade Price and/or Premium has been paid to FLOCERT. In case there are no transactions to be reported for a certain Quarter and Product Category, you submit a "No purchase declaration" through WebFLOTIS. The reports are submitted at the latest until: - April 30th for Q1 - July 30th for Q2 - October 30th for Q3 - January 30th for Q4 (Only completed transactions for each quarter should be reported. A transaction is considered completed when both the Price and the Premium have been paid. For further assistance with the submission of your reports, you may contact reporting@flocert.net)	No reports submitted for the audit period.	Reports submitted, but: - there are still some "Missing – Overdue" reports - with significant delay, as informed by the Reporting Unit at FLOCERT	Reports are complete AND submitted (All reports are up to date)			0	C		afforestation, reforestation, energy efficiency, renewable energy	
5.4 Access to finance and upfront payments													
5.4.1	PF, trader	5.4.01	You make finance available to producer organizations if the project requires it.	Finance is not made available although the project requires it.		Finance is made available.			0	C		afforestation, reforestation, energy efficiency, renewable energy	
5.4.2	trader	5.4.02	You provide upfront payment if the producer organization requires it, and if there are proven guarantees that emission reductions are taking place.	Upfront payment is not made available although the PO requires it.		Upfront payment is made available.		Upfront payment is made it, available even though no guarantees exist.	1	C		afforestation, reforestation, energy efficiency, renewable energy	
5.5 Price and Fairtrade Premium													
5.5.1, 5.5.2	trader	5.5.01	You pay at least the relevant market price for carbon credits (non-Fairtrade) or the relevant Fairtrade Minimum Price - whichever is higher (considering costs of production of the project) - to the producer organization (or the project facilitator if a contract has been signed for the project facilitator to manage payments on behalf of the producer organization). Guidance: In the absence of an official reference to a relevant market price the trader should at least pay the Fairtrade Minimum Price.	Payments are below market and Fairtrade Minimum Price.	Payments are in line with market price BUT still lower than the Fairtrade Minimum Price.	The higher price is paid.		The price paid is higher than the FTMP or market price (whichever is higher).	0	C		afforestation, reforestation, energy efficiency, renewable energy	The relevant market price is the price that prevails on the non-Fairtrade market for carbon credits. Guidance: In the absence of an official reference to a relevant market price the trader should at least pay the Fairtrade Minimum Price.
5.5.1	trader	5.5.02	(Applicable if the price you pay for the FCCs is significantly lower than the relevant market price) You are able to provide a rationale or justification for the price deviation.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy	

5.5.3	PF	5.5.03	(Applicable if you receive payments on behalf of the producer organization or if you are a trader who also carries out project facilitator tasks for the producer organization) You deduct service fees and other costs for your project facilitator role in a transparent manner from the price, before transferring the amount to the producer organization. The deductions are in line with what is stipulated in the contractual agreement you have with the producer organization (see requirement 2.2.11, CC 2.2.14).	Deductions made are not transparent and not in line with agreement.	Deductions made are transparent BUT not in line with agreement.	Deductions made are transparent AND in line with agreement.		RANK 3 AND over time deductions made are decreasing.	0	C		afforestation, reforestation, energy efficiency, renewable energy
5.5.3, 5.5.4	PF, trader	5.5.04	No deductions are made from the Fairtrade Premium payments.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy
5.5.4	trader	5.5.05	You pay the Fairtrade Premium for the FCCs as defined in the Fairtrade pricing table for the applicable type of project to the producer organization (or the project facilitator if a contract has been signed for the project facilitator to manage payments on behalf of the producer organization).	The Fairtrade Premium defined for the FCC for the applicable type of project and region has not been paid.	The Fairtrade Premium defined for the FCC for the applicable type of project and region has not been paid in full amount.	The Fairtrade Premium defined for the FCC for the applicable type of project and region has been paid.			0	C		afforestation, reforestation, energy efficiency, renewable energy
5.5.5	PF	5.5.06	(Applicable if you receive premium and price on behalf of the producer organization) You transfer the premium in full and agreed proportion of the price (see 5.5.3, CC 5.5.03 on payment deductions) to the producer organization (or, if applicable to the bank account that you hold on behalf of the producer organization). No deductions are made from the premium.	Deductions are made from the premium.		Premium is transferred in full.		Premium is transferred in full in a timely manner.	0	C		afforestation, reforestation, energy efficiency, renewable energy
5.6												
Timely Payment												
5.6.1	trader	5.6.01	You pay the producer organization (or the project facilitator if applicable) the price and the Fairtrade Premium for the FCCs in a timely manner, and no later than 30 days after receiving the FCCs.	No		Yes			0	C		afforestation, reforestation, energy efficiency, renewable energy
5.6.2	PF	5.6.02	(Applicable if you receive the payment of the premium and price on behalf of the producer organization) You transfer the premium and agreed proportion of the price to the producer organization in a timely manner. You agree on this timeframe in writing with the producer organization, and in all cases you make the transfer no later than 30 days after receiving the payment from the trader.	Transfer does not take place within 30 days AND there is no agreement on the timeframe.	There is an agreement on the timeframe BUT it exceeds 30 days OR payment is not transferred on time.	There is an agreement on the timeframe that does not exceed 30 days AND payment is transferred on time.	Payments are transferred immediately as soon as the PF receives it.	RANK 4 AND PF informs the PO regularly on the status of payments.	0	C		afforestation, reforestation, energy efficiency, renewable energy